

### 3-A SSI Standards & Accepted Practices Project Proposal Form

This form is to be used to request either a new, or revision to an existing, 3-A Standard or Accepted Practice. Your request will be reviewed by the 3-A Steering Committee for possible approval. After your request is processed by the Steering Committee, you will be informed whether or not it was forwarded to the appropriate Working Group for work.

**Project Type:** Please (√) or (x)

\_\_\_\_ To revise or amend an existing Standard    \_\_\_\_ To revise or an existing Accepted Practice

Current Standard or AP Number \_\_\_\_\_

\_\_\_\_ To develop a new 3-A SSI Standard    \_\_\_\_ To develop a new Accepted Practice

\_\_\_\_ To withdraw an existing Standard    \_\_\_\_ To withdraw an Accepted Practice

**ANSI Standard?:** Submit as an American National Standard (yes or no) \_\_\_\_\_

**Patents/Patents Pending?:**

**Purpose and Scope** (Only if proposing a new 3-A Standard or Accepted Practice):

**Rationale for work:** Include a statement on the necessity of the project. Include any points on the benefit/values of the standard and the reason(s) you are submitting this proposal.

**Proposed changes:** Please include the exact new text wording or text changes for existing documents you are proposing, along with their section numbers (for existing standards and A/Ps) with this proposal. (Attach additional sheets as necessary):

**Leadership and Assistance Request:** (Listing of recommended Subject Matter Experts (SME) or disciplines required on the Working Group and or other interested parties to be considered for participation in the project as Working Group members (if known):

**2.16 Patent Policy: 3-A SSI Procedures for the Development and Maintenance of 3-A Sanitary Standards and Accepted Practices**

A proposed 3-A SSI American National Standard may include the use of an essential patented claim (one whose use would be a requirement for compliance with that standard), if it is considered that technical reasons justify this approach. If 3-A SSI receives a notice that a proposed or approved 3-A American National Standard may require the use of such a patent claim, the procedures in this clause shall be followed.

3-A SSI shall receive from the patent holder or party authorized to make assurances on its behalf, in written or electronic form, either:

- a) assurance in the form of a general disclaimer to the effect that such party does not hold and does not currently intend holding any essential patent claim(s); or
- b) assurance that a license to such essential patent claim(s) will be made available to applicants desiring to utilize the license for the purpose of implementing the standard either:
  - i) under reasonable terms and conditions that are demonstrably free of any unfair discrimination; or
  - ii) without compensation and under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

A record of the patent holder's statement shall be retained in both the 3-A SSI files and with ANSI.

When 3-A SSI receives from a patent holder the assurance set forth in b) above, the 3-A Sanitary Standard or 3-A Accepted Practice shall include a note substantially as follows:

NOTE – The user's attention is called to the possibility that compliance with this standard may require use of an invention covered by patent rights.

By publication of this 3-A Sanitary Standard or 3-A Accepted Practice, no position is taken with respect to the validity of any such claim(s) or of any patent rights in connection therewith. If the patent holder has filed a statement of willingness to grant a license under these rights on reasonable and nondiscriminatory terms and conditions to applicants desiring to obtain such a license, then the details may be obtained from 3-A SSI.

Neither 3-A SSI or ANSI is responsible for identifying all patents for which a license may be required by an American National Standard or for conducting inquiries into the legal validity or scope of those patents that are brought to their attention.

Submitted by:

Name \_\_\_\_\_

Company \_\_\_\_\_

E-mail \_\_\_\_\_

Date \_\_\_\_\_